

## Balanced Search Trees

- Resources available:  
[kevin-miao.com](http://kevin-miao.com)

### Today

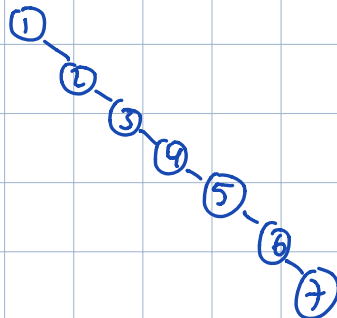
- Quiz Q2: Review
- Mini Review: Balanced Search Trees
  - 2-3-4 Trees
  - LLRB
    - \* Rotations
    - \* Insertion

### Mini Review

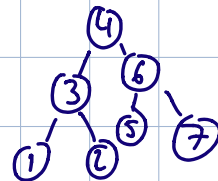
- Why balanced trees?

Efficiency at all times

add 1, 2, 3, 4, 5, 6, 7



vs



$O(\log n)$

\* 2-3-4 trees

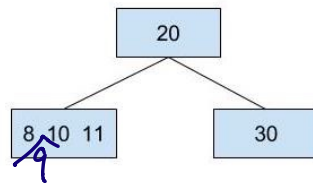
\* Can have 2, 3 or 4 children

Insertion

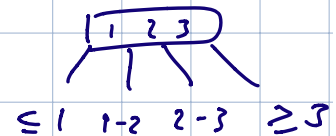
\* Go down like BST, add to leaf

\* If invalid tree, pop up middle  
repeat until valid

valid is when for all nodes, the  $\text{items} \leq 3$



2-3-4 tree

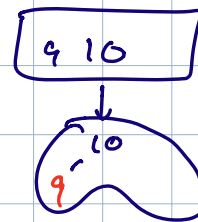


Variants (B-Trees)

→ 2-4

→ 2-3-4-5

→ 2-3 Trees



Red Black trees



2-3-4 Trees

\* Middle Element



black node reachable from parent

\* left



red left of black

\* Right



red right of black

left leaning red Black Trees ↔ 2-3 Trees

\* left



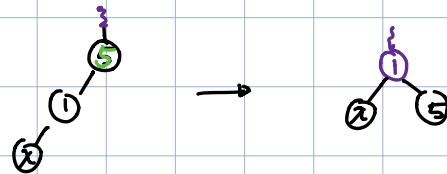
red : left of black

## Operations

① Rotate Left (1)

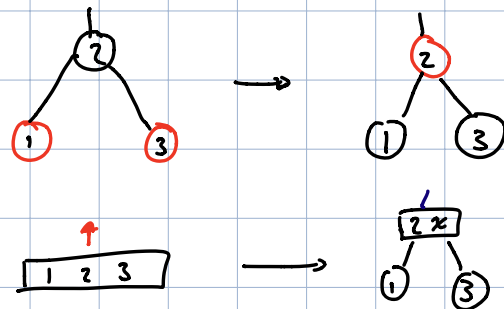


② Rotate Right (5)



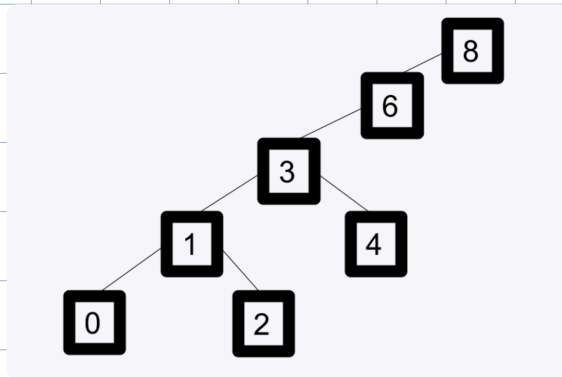
③ Flip Color

(only  
L/R)



## Quiz

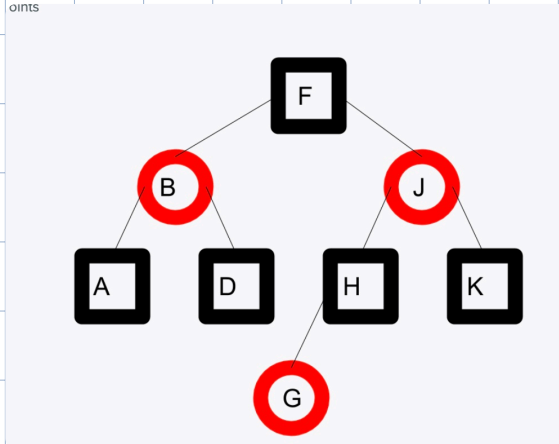
Q3  
a)



Which sequence of rotations will balance this tree? (Height = 3)

b)

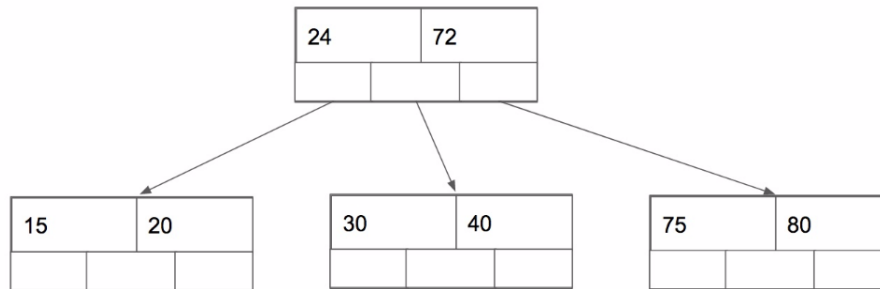
oints



Convert this tree to a 2-3-4 tree.

## 4 All about Trees

1. Why does a binary search tree have a worst case runtime of  $\theta(n)$  for *contains*?
2. Give a sequence of operations, such that if they were inserted in the order they appear, would result in a "poor" binary search tree.
3. Examine this B-tree with order 3. Mark the paths taken when the user calls *contains*(40).



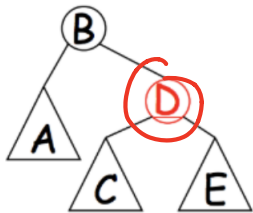
4. Now call *insert*(35), and draw the resulting tree.
5. What property of a B-tree rectifies problems of binary search trees, such as the one in 1.1? Why would you not use a B-tree?

## 5 The Holy LLRB Invariant

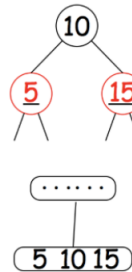
**RB Tree Invariants:** Node labels are in order from left to right. All paths through the tree contain the same number of black nodes. No red nodes have red parents. As a result, the height of a RB tree with  $n$  nodes is  $O(\log n)$ .

LLRB trees must also maintain the following invariant (in addition to the regular red-black invariant):

No right-leaning trees (black parent with right red child):

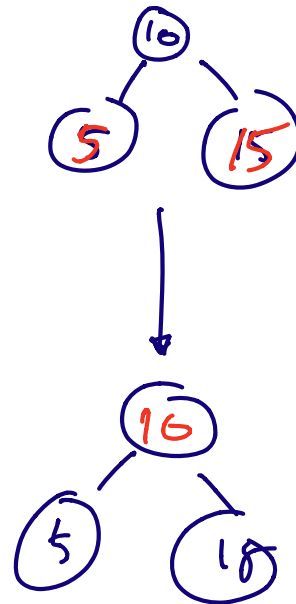
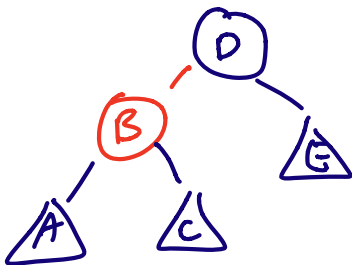


No "4-nodes" (black parent with two red children):

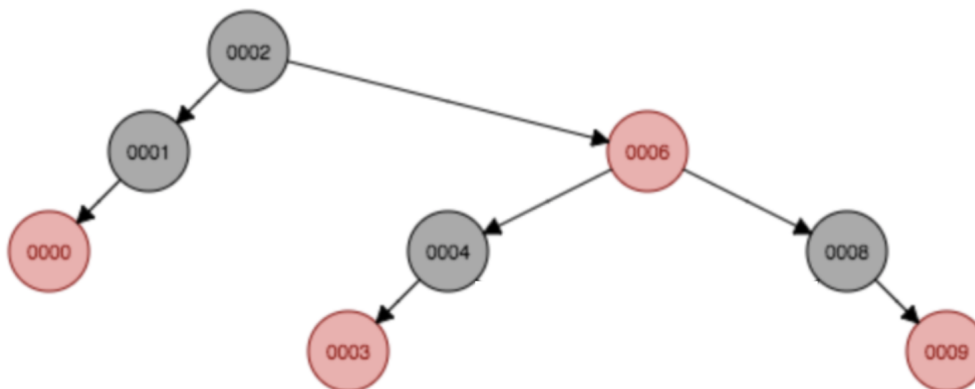


1. What are the "fixups" for the two cases above in order to preserve the LLRB invariant (i.e. what operations do we perform on each tree to ensure it is a proper LLRB)?

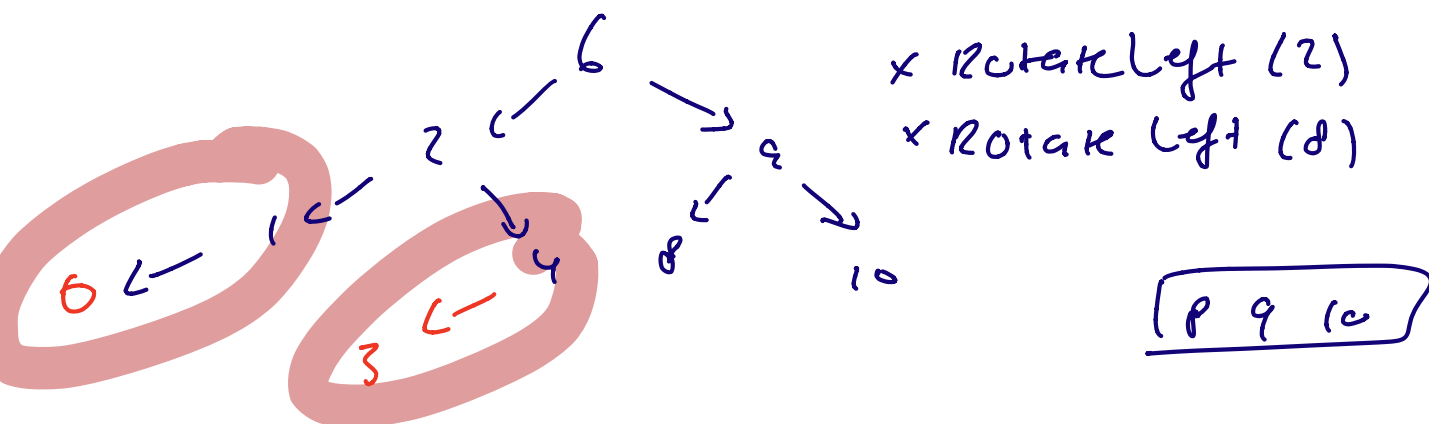
Rotate Left (B)



Consider the following RB tree:



2. Draw the tree after applying all necessary fixups to make it a proper LLRB tree.



3. Next, insert 10 into the tree, and apply all fixups to preserve the LLRB invariant.



4. Finally, draw the corresponding 2-3 tree.